



Independent Wealth Network

Item 1

Nancy S. Bullock-Swanson

Preferred Wealth Management, LLC.
1202 E. Maryland Ave, Suite 1J
Phoenix, AZ 85014
(602) 494-0020

Independent Wealth Network, Inc., 2350 NW 128th Street, Urbandale, IA 50323

(515) 461-5123

January 1, 2025

This ADV Part 2B brochure provides information about **Nancy Bullock-Swanson** that supplements the Independent Wealth Network, Inc. ADV Part 2A brochure. You should have received a copy of that brochure. Please contact us at (515) 461-5123 or compliance@indwealth.net if you did not receive the Independent Wealth Network, Inc. brochure or if you have any questions about the content of this supplement.

Additional information about **Nancy Bullock-Swanson** is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Year of Birth: 1967

Formal Education beyond high school:

- Northern Arizona University – Flagstaff, AZ – Master’s in Education – 1992 to 1994
- Arizona State University – Tempe, AZ – Bachelor of Science in Justice Studies – 1987 to 1989
- Glendale Community College – Glendale, AZ – Bachelor of Science in Justice Studies – 1985 to 1987
- FINRA Exams Passed: Series 6, 7, 63, 65, and SIE
- Life, Health, and Variable Insurance Licensed

Business Background for the past 5 years:

- Preferred Wealth Management, LLC. –President & Financial Adviser– 09/2017 to present
- Preferred Group, LLC. – Insurance Advisor – 04/2016 to present
- Swanson Group, LLC. – Insurance Advisor – 08/2004 to present
- Independent Wealth Network, Inc. – Investment Adviser Representative – 09/2017 to present

Item 3 Disciplinary Actions

List any legal or disciplinary event, which occurred during the previous 10 years. **None**

Item 4 Other Business Activities

Other capacities in which you participate in investment-related business and the material conflicts of interest this presents:

Nancy assumed the role of President for Preferred Wealth Management, LLC. on 4/1/2020. She is also, a financial adviser, operating under the name of Preferred Wealth Management, LLC. as an Investment Adviser Representative for fee-based advisory relationships.

Nancy is a licensed insurance agent operating under the names Preferred Group, LLC in Arizona, and Swanson Group, LLC in Iowa, both which provide insurance products and generate a sales commission.

She also assists with administrator duties (non-registered person) for Preferred Financial Group, LLC. which supports financial and insurance advisors.

Preferred Wealth Management, LLC., Preferred Financial Group, LLC., Preferred Group, LLC., Swanson Group, LLC., are not affiliated with Independent Wealth Network, Inc., but under common control.

Item 5

Additional Compensation

Any other activities if they involve more than 10% of your time or compensation.

President of Preferred Wealth Management, LLC.

Consistent with firm policies, Nancy may attend training events, due diligence meetings, and other events provided and paid for by the sponsors of mutual funds or other investment products, which she may recommend to clients. The receipt of this cash or non-cash compensation may create an incentive to recommend these investment products.

Investment Adviser Representatives are required to act in the best interest of the clients and are required to only recommend investment advisory programs, investment products and securities that are suitable for each client based upon the client's investment objectives, risk tolerance, and financial situation and needs.

Investment Adviser Representatives may also refer clients and prospective clients to a separate disclosure document that the client has or will receive that sets out a more detailed explanation of the material risks of investment strategies or methods of analysis that are or will be used to manage the client's account.

Item 6

Supervision

Clients complete an Investment Policy Statement (IPS) as part of their Investment Advisory Agreement which they acknowledge and sign. The Investment Adviser Representative (IAR) relies on this information when providing advice and services to the client. It is the client's responsibility to inform their IAR when their financial profile, goals, or objectives change.

The firm employs automated account supervision processes to identify potential variations from the clients' stated goals and objectives stated in the IPS.

The supervisor and compliance officer is Andrew Endelman, President & CCO (515) 461-5123