



Privacy Policy

Privacy Notice to Our Clients. Independent Wealth Network, Inc. (IWN) strongly believes in confidentiality and protecting the information we collect. This notice describes our privacy policy and explains how we treat information we receive.

Why We Collect and How We Use Information. When we fulfill your request for services, provide investment advice, or process transactions for your account, you typically provide us with the required personal information. We may occasionally also use that information to offer you other services we believe provide value or benefit to you.

What Information We Collect. The information we collect may include:

- Name and address;
- Social Security or taxpayer identification number;
- Assets;
- Income;
- Account balance;
- Investment activity;
- Accounts and/or account numbers at other institutions.

How We Protect Information. We will not sell your personal information to anyone. We treat all information about current and former clients and their accounts in a confidential manner. Our employees may access information and provide it to third parties only when completing a transaction at your request or while providing other services to you.

At your written request, we may disclose information to attorneys, accountants, lawyers, securities professionals, or others to assist them in providing services to you. We may also share information with companies that perform services on our behalf, such as the companies that we hire to perform marketing or administrative services. Companies we may hire to provide support services are never allowed to use your personal information for their own purposes. We may make additional disclosures as permitted by law.

We also maintain physical, electronic, and procedural safeguards to protect information. Employees and our professional service representatives are required to comply with our established information confidentiality provisions.

Access to and Correction of Information. Federal law gives you the right to restrict the information we share with external organizations. To limit our sharing, you must opt-out by sending a request to IWN Compliance Department at 2350 128th Street, Urbandale, IA 50323. If you limit sharing for any account, your choices will apply to everyone on your account. If your personal information becomes inaccurate, please contact your Investment Advisor Representative ("IAR") or email compliance@indwealth.net.

PLEASE DIRECT ANY QUESTIONS ABOUT THIS NOTICE TO THE IWN COMPLIANCE DEPARTMENT OR YOUR IAR.

Updated: March 8, 2023